

Policy Title: Macalester College FGCR Policy for Seeking and Obtaining Tribal Nation Approval for Proposals that May Impact Tribal Resources or Interests for NSF funding Opportunities

Policy Owner: Foundation, Government and Corporate Relations (FGCR)

Responsible Office: Foundation, Government and Corporate Relations (FGCR)

Pertinent Dates:

Policy developed: May 20, 2024 Policy reviewed: August 14, 2024 Policy approved: August 14, 2024

Entities Affected: All Macalester College faculty, staff, and students involved in National Science Foundation (NSF) research or grant proposals.

Who needs to know about this policy: All faculty, staff, and students involved in research or grant proposals that may impact Tribal Nation resources or interests.

Preamble: Macalester College recognizes the sovereignty of federally recognized American Indian and Alaska Native Tribal Nations and respects their resources and interests. This policy ensures that any research or project proposals that may impact Tribal Nations are conducted with appropriate approval and respect for Tribal sovereignty.

Policy Statement: Proposals that may impact the resources or interests of a federally recognized American Indian or Alaska Native Tribal Nation will not be submitted by Macalester College without documentation of Tribal Nation communication or prior written approval from the official(s) designated by the relevant Tribal Nation(s). This policy aligns with National Science Foundation (NSF) requirements and applies to all funding agencies. Macalester College's Proposal Preparation and Grant Management Guide PPGMG supports these goals.

Additional Policy-Specific Guidelines:

- 1. Identification of Potential Impact: Researchers must identify if their proposal may impact Tribal Nation resources or interests, including but not limited to:
 - a. Research involving Tribal Nation members
 - b. Studies on Tribal Nation reservations or territories
 - c. Use of Tribal Nation-controlled information or data
- 2. Seeking Guidance: Researchers must seek guidance from potentially impacted Tribal Nation(s) regarding which activities require review and prior approval.
- 3. Submission Requirements and Process

- a. Researchers must submit a written request to the relevant Tribal Nation(s) for approval of proposed activities requiring review.
- b. The "Potential Impacts on Tribal Nations" box must be checked on proposal cover sheets.
- c. Documentation of Tribal Nation communication or approval from Tribal Council or Executive Leadership body must be included with the proposal.
- 4. Internal Review: The Foundation, Government and Corporate Relations Office will review all proposals to ensure compliance with this policy before submission to funding agencies.
- 5. Collaborative Proposals: For collaborative proposals, the lead organization is responsible for ensuring compliance with this policy.
- 6. If not obtained at the time of submission, an official signed approval from Tribal Council or Executive Leadership body shall be required at the time an award is made.

Ongoing Policy Review: The FGCR Policy ad hoc committee will systematically review FGCR Policies annually to ensure they remain relevant and up-to-date.

Policy Education and Training: FGCR will facilitate education and training on this Policy and other college Policies as needed.

This Policy is effective immediately upon approval and supersedes all previous Policy development procedures at Macalester College.