



## **Principal Investigator Eligibility Policy for Grants Administered by the College**

*DRAFT - Subject to Approval*

When Macalester submits proposals to external sponsors and accepts awards for sponsored projects, the College assumes significant financial and legal obligations. Sponsors fund projects based in part on the professional expertise of the Principal Investigator; however, the formal award is made to, and the obligations are assumed by, the College. Under the general oversight and authority of the College, the title of PI identifies the individual responsible for the conduct of the project. This responsibility includes the intellectual conduct of the project, technical compliance, completion of programmatic work, fiscal stewardship of sponsor funds, and compliance with administrative requirements of the project. For these reasons, PIs must have a reasonable prospect of long-term employment at Macalester.

The following Statement of Principal Investigator Eligibility provides institutional requirements for individuals who may serve as a PI on an externally sponsored project at Macalester. Exceptions to this policy may only be made with the written approval of the Administration. Note that this policy only applies to externally sponsored projects for which the funds are awarded to Macalester College; many grants, including most fellowships in the humanities and social sciences, award funds directly to applicants and are thus not subject to this policy.

Any faculty member or staff member who holds one of the following positions may be identified as a PI for sponsored activities:

- Regular tenured or tenure-track faculty member
- Non-Tenure Track (NTT) or visiting faculty member, if the project will be completed prior to the end date of the faculty member's contract
- Staff member (with permission of direct supervisor)

Any individual who holds one of the following positions may be identified as a co-PI for sponsored activities if one of the individuals listed above is named as PI on the project:

- NTT or visiting faculty member whose contract end date precedes the end date of the project
- Emeritus faculty member or MSFEO (faculty member who will transition to Emeritus status during the project period).

In some cases, a sponsoring agency may have restrictions on who may serve as a PI on a particular project or program that are more stringent than the policy of Macalester College. As warranted, the Administration will review circumstances on a case-by-case basis and ensure that restrictions are followed and allow exceptions as appropriate. Individuals allowed to serve as PI on sponsored activities are required to comply with Macalester's business practices, policies, and procedures.